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SECOND QUARTER 2023 REPORT

April 2023 – June 2023

I. INTRODUCTION

The Office of Congressional Ethics (“OCE”) is an independent, non-partisan entity charged with reviewing allegations of misconduct involving Members, Officers and staff of the House and, when appropriate, referring matters to the House Committee on Ethics. In all but one set of circumstances, the report and findings of the OCE Board included in a referral to the Committee on Ethics eventually must be publicly released.

The mission of the OCE and its Board is to assist the United States House of Representatives in upholding high standards of ethical conduct for its Members, Officers and staff and, in so doing, to serve the American people.

The following is a report of the OCE’s work in the second quarter of 2023 for the 118th Congress.

II. OCE PROCESS

The OCE’s investigations have two stages: (1) a preliminary review, which must be completed in 30 days and (2) a second-phase review, which must be completed in 45 days, with the option of a 14-day extension. Two Board members (one appointed by the Speaker of the House and one appointed by the Minority Leader) may authorize a preliminary review if all available information provides a *reasonable basis* to believe that a violation may have occurred.

Three Board members may authorize a second-phase review if all available information provides *probable cause* to believe a violation may have occurred.

A decision to undertake a preliminary review or second-phase review should not be misconstrued. Historically, the majority of reviews have resulted in termination or dismissal of the matter.

At the end of a second-phase review, the Board must recommend to the Committee on Ethics either that the matter warrants further review by the Committee on Ethics or that the Committee on Ethics should dismiss the matter. The standard of proof generally required under OCE Rules to refer a matter to the Committee on Ethics for further review is *substantial reason* to believe a violation may have occurred. A recommendation for further review does not

constitute a determination that a violation occurred. Similarly, a recommendation for dismissal does not constitute a determination that a violation did not occur, but only that the information available to the OCE does not provide a substantial reason to believe a violation occurred or, in some cases, that the conduct at issue falls outside of the OCE's jurisdiction.

The OCE is not authorized to sanction Members, officers or employees of the House or to recommend any sanctions. The Committee on Ethics has the exclusive authority to determine if a violation has occurred and, if so, what sanction may be in order. When it makes its recommendation, the OCE Board may also transmit to the Committee on Ethics findings that include, among other things, findings of fact and citations to laws, rules or regulations that may have been violated. A chart depicting the OCE's review process is available on the OCE website at <http://oce.house.gov>.

III. CITIZEN COMMUNICATIONS

Any member of the public may bring a matter of suspected misconduct involving a Member, Officer or staff of the House to the attention of the OCE. The OCE has received approximately 3,214 communications from private citizens during the second quarter of 2023. These contacts were of two types: (1) requests for information about the OCE and its procedures; and, (2) allegations of misconduct. Staff or any Board member may also submit information regarding an alleged violation for consideration by the Board.

IV. BOARD ACTIONS

The following is a statistical summary of the Board's actions in the second quarter of 2023.

Type of Action Date	Commence Preliminary Review	Matter Terminated	Commence Phase II Review	Commence Phase II Review Extension	Transmit Referral for Review	Transmit Referral for Dismissal	Transmit Referral as Unresolved ¹
April 21	3	0	0	1	1	0	0
May 19	3	0	3	0	0	0	0
June 16	0	2	1	3	0	1	0
Total for Second Quarter	6	2	5	4	1	1	0
Total from Previous Quarters	2	0	2	0	0	0	0

¹ Pursuant to H. Res. 895, in a case where the Board cannot resolve the matter due to a tie vote, the Board will transmit the referral to the Committee with a statement that the matter is unresolved.

Total for 118th Congress	8	2	7	4	1	1	0
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V. STAFFING UPDATE

During the second quarter of 2023, the OCE hired Peter Tilly as an Investigative Support Analyst.

Prior to joining OCE, Peter was a consultant for Deloitte supporting clients in the national security space. He started his career as a Student Workforce Trainee with the Department of Justice before working as a Senate Aide in the Georgia General Assembly through the Georgia Legislative Internship Program.

Mr. Tilly graduated from the Georgia Institute of Technology with a B.S. in Public Policy.